

## CANADA-UNITED STATES-MEXICO AGREEMENT

**CUSMA  
CERTIFICATION OF ORIGIN**

<b>2. Certifier:</b>     <b>Telephone:</b> <b>E-mail:</b> <b>Tax ID Number:</b>		<b>1. Indicate type of Certification of Origin:</b> <b>Importer:</b> <b>Exporter:</b> <b>Producer:</b>			
<b>4. Producer (if different from certifier or exporter):</b> Name: Address:  <b>Telephone:</b> <b>E-mail:</b> <b>Tax ID Number:</b>		<b>3. Exporter (other than Producer):</b> Name: Title: Address:  <b>Telephone:</b> <b>E-mail:</b> <b>Tax ID Number:</b>			
<b>4. Producer (if different from certifier or exporter):</b> Name: Address:  <b>Telephone:</b> <b>E-mail:</b> <b>Tax ID Number:</b>		<b>5. Importer:</b> Name: Address:  <b>Telephone:</b> <b>E-mail:</b> <b>Tax ID Number:</b>			
Part Number	6. Description of Good(s):	HS Tariff Classification	Invoice Number	7. Origin Criteria	8. Blanket Period
					From: <hr style="border-top: 1px dotted black;"/> To: <hr style="border-top: 1px dotted black;"/>
<b>9. Authorized Signature:</b>   <b>Certifier's Name: (Print or Type)</b>  <b>Date: (MM/DD/YR)</b>		<b>Company Name:</b>   <b>Certifier's Title:</b>  <b>Telephone number and Email:</b>			
<p>10. I certify that the goods described in this document qualify as originating and the information contained in this document is true and accurate. I assume responsibility for proving such representations and agree to maintain and present upon request or to make available during a verification visit, documentation necessary to support this certification.</p> <p>This certification consists of ____ page(s), including all attachments.</p>					

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## CUSMA

### CERTIFICATION OF ORIGIN INSTRUCTIONS

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**For purposes of obtaining preferential tariff treatment, this document must be completed legibly and in full by the exporter, importer, or producer, and be in the possession of the importer at the time the declaration is made. Please print or type:**

- Field 1: State the full legal name, address (including country), email and legal tax identification number of the exporter. Legal taxation number is: in Canada, employer number or importer/exporter number assigned by Revenue Canada; in Mexico, federal taxpayer's registry number (RFC); and in the United States, employer's identification number or Social Security Number.
- Field 2: Complete field if the Certificate covers multiple shipments of identical goods as described in Field #5 that are imported into a USMCA country for a specified period of up to one year (the blanket period). "FROM" is the date upon which Certificate becomes applicable to the good covered by the blanket Certificate (it may be prior to the date of signing this Certificate). "TO" is the date upon which the blanket period expires. The importation of a good for which preferential treatment is claimed based on this Certificate must occur between these dates.
- Field 3: State the full legal name, address (including country), email and legal tax identification number, as defined in Field #1, of the producer. If more than one producer's good is included on the Certificate, attach a list of additional producers, including the legal name, address (including country) and legal tax identification number, cross-referenced to the good described in Field #5. If you wish this information to be confidential, it is acceptable to state "Available to CBP upon request". If the producer and the exporter are the same, complete field with "SAME". If the producer is unknown, it is acceptable to state "UNKNOWN".
- Field 4: State the full legal name, address (including country), email and legal tax identification number, as defined in Field #1, of the importer. If the importer is not known, state "UNKNOWN"; if multiple importers, state "VARIOUS".
- Field 5: Provide a full description of each good. The description should be sufficient to relate it to the invoice description and to the Harmonized System (H.S.) description of the good. If the Certificate covers a single shipment of a good, include the invoice number as shown on the commercial invoice. If not known, indicate another unique reference number, such as the shipping order number.
- Field 6: For each good described in Field #5, identify the H.S. tariff classification to six digits. If the good is subject to a specific rule of origin in Annex 401 that requires eight digits, identify to eight digits, using the H.S. tariff classification of the country into whose territory the good is imported.
- Field 7: For each good described in Field #5, state which criterion (A through D) is applicable. The rules of origin are contained in Chapter Four.

NOTE: In order to be entitled to preferential tariff treatment, each good must meet at least one of the criteria below.

#### Preference Criteria

- A The good is "wholly obtained or produced entirely" in the territory of one or more of the USMCA countries as referenced in Article 4.3 (Wholly Obtained or Produced Goods).
- B The good is produced entirely in the territory of one or more of the USMCA countries and satisfies the specific rule of origin, set out in Annex 4-B (Product Specific Rules of Origin).
- C The good is produced entirely in the territory of one or more of the USMCA countries exclusively from originating materials. Under this criterion, one or more of the materials may not fall within the definition of "wholly produced or obtained", as set out in article 4.3 (Wholly Obtained or Produced Goods), or
- D Except for a good provided for in Chapter 61 to 63 of the Harmonized System:  
(i) produced entirely in the territory of one or more of the CUSMA countries;  
(ii) one or more of the non-originating materials provided for as parts under the Harmonized System used in the production of the good cannot satisfy the requirements set out in Annex 4-B (Product-Specific Rules of Origin) because both the good and its materials are classified in the same subheading or same heading that is not further subdivided into subheadings or, the good was imported into the territory of a Party in an unassembled or a disassembled form but was classified as an assembled good pursuant to rule 2(a) of the General Rules of Interpretation of the Harmonized System; and  
(iii) the regional value content of the good, determined in accordance with Article 4.2 (Regional Value Content), is not less than 60 percent if the transaction value method is used, or not less than 50 percent if the net cost method is used. And the good satisfies all other applicable requirements of Chapter 4 (Rules of Origin).
- Field 8: For each good described in Field #5, state "YES" if you are the producer of the good. If you are not the producer of the good, state "NO" followed by (1), (2), or (3), depending on whether this certificate was based upon: (1) your knowledge of whether the good an originating good; (2) your reliance on the producer's written representation (other than a Certificate of Origin) that the good qualifies as an originating good; or (3) a completed and signed Certificate for the good, voluntarily provided to the exporter by the producer.
- Field 9: For each good described in field #5, where the good is subject to a regional value content (RVC) requirement, indicate "NC" if the RVC is calculated according to the net cost method; otherwise, indicate "NO". If the RVC is calculated over a period of time, further identify the beginning and ending dates (MM/DD/YYYY) of that period. (Reference: Article 402.1, 402.5).
- Field 10: Identify the name of the country ("MX" or "US" for agricultural and textile goods exported to Canada; "US" or "CA" for all goods exported to Mexico; or "CA" or "MX" for all goods exported to the United States) to which the preferential rate of CBP duty applies, as set out in Annex 302.2, in accordance with the Marking Rules or in each party's schedule of tariff elimination. For all other originating goods exported to Canada, indicate appropriately "MX" or "US" if the goods originate in that USMCA country, within the meaning of the USMCA Rules of Origin Regulations, and any subsequent processing in the other USMCA country does not increase the transaction value of the goods by more than seven percent; otherwise indicate "JNT" for joint production. (Reference: Annex 302.2)
- Field 11: This field must be completed, signed, and dated by the exporter, importer or producer. The date must be the date the Certificate was completed and signed.